

The additivity and multiplicativity of fixed-point invariants

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- 1 Additivity and multiplicativity theorems
- 2 Traces
- 3 Bicategorical trace
- 4 Additivity and multiplicativity formulas

Counting fixed points

Let M be a manifold, $f: M \rightarrow M$ continuous.

Question

What is a good invariant of f that tells us about its fixed points?

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First try

$FP(f)$ = the number of fixed points of f .

Problems with this:

- 1 It's not very computable.
- 2 It's not invariant under deformations.

Counting with multiplicity

A better answer

Count them with multiplicity!

$$L(f) = \sum_{f(x)=x} \text{ind}_f(x)$$

where $\text{ind}_f(x)$ is the **index** of x (a fixed point of f).

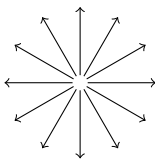
- 1 This is more computable (as we will see).
- 2 It is also invariant under deformations.

This is the **total fixed point index** or the **Lefschetz number** of f .

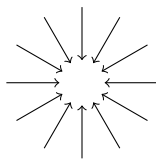
Indices of fixed points

The index is like the “determinant” of the local behavior of f near the fixed point.

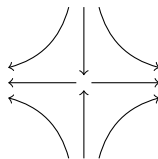
index 1



index 1



index -1



Under a deformation:

- Two fixed points of index 1 can “merge” into one of index 2;
- Two fixed points of indices 1 and -1 can “annihilate”;
- etc. . .

The Lefschetz fixed-point theorem

Theorem

If $L(f) \neq 0$, then f has a fixed point.

Proof.

Obvious! □

The Lefschetz fixed-point theorem

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If $L(f) \neq 0$, then f has a fixed point.

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The work is in finding a definition of $L(f)$ that we can calculate without already knowing what the fixed points are.

Computing the Lefschetz number

One option is:

Theorem

$$L(f) = \sum_{i \in \mathbb{Z}} (-1)^i \operatorname{tr}(H_i(f))$$

where $H_i(f)$ is the map induced by f on i^{th} homology.

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Example

$L(\operatorname{id}_M) = \sum_i (-1)^i \dim(H_i(M)) = \chi(M)$, the **Euler characteristic**.

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Example

$L(\operatorname{id}_M) = \sum_i (-1)^i \dim(H_i(M)) = \chi(M)$, the Euler characteristic.

Another option is to **break down M into smaller pieces**.

Trivial additivity and multiplicativity

Theorem

For $f: M \rightarrow M$ and $g: N \rightarrow N$, we have $f \amalg g: M \amalg N \rightarrow M \amalg N$.
Then

$$L(f \amalg g) = L(f) + L(g).$$

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Theorem

For $f: M \rightarrow M$ and $g: N \rightarrow N$, we have $f \times g: M \times N \rightarrow M \times N$.
Then

$$L(f \times g) = L(f) \cdot L(g).$$

Nontrivial additivity

Let $A \subseteq M$, and $h: M \rightarrow M$ with $h(A) \subseteq A$. Define $f = h|_A: A \rightarrow A$, and induce $g: M/A \rightarrow M/A$.

$$\begin{array}{ccccc} A & \longrightarrow & M & \longrightarrow & M/A \\ f \downarrow & & h \downarrow & & \downarrow g \\ A & \longrightarrow & M & \longrightarrow & M/A \end{array}$$

Theorem

$$L(h) = L(f) + L(g).$$

Nontrivial multiplicativity

Let $p: E \rightarrow B$ be a fiber bundle with fiber F , and let $f: E \rightarrow E$ be a map over $\bar{f}: B \rightarrow B$.

$$\begin{array}{ccc} E & \xrightarrow{f} & E \\ p \downarrow & & \downarrow p \\ B & \xrightarrow{\bar{f}} & B \end{array}$$

If b is a fixed point of \bar{f} , we have $f_b: F \rightarrow F$.

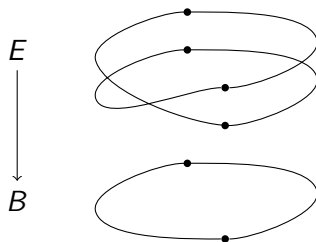
Theorem

If $E \rightarrow B$ is “orientable” and B is connected, then

$$L(f) = L(\bar{f}) \cdot L(f_b).$$

A nonorientable fibration

Let $E = B = S^1$, with $E \rightarrow B$ the double cover. Let \bar{f} be reflection in the y -axis, and f some map over it.

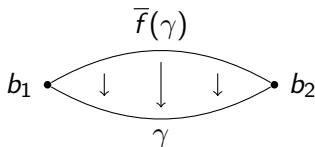


Then $L(f_b) = 0$ over one fixed point of \bar{f} , but $= 2$ over the other.

Fixed-point classes

Definition

Fixed points b_1 and b_2 of \bar{f} are in the same **fixed-point class** if there is a path γ in B from b_1 and b_2 , such that $\bar{f}(\gamma)$ can be deformed back to γ keeping the endpoints fixed.



Theorem

If b_1 and b_2 are in the same fixed-point class, then $L(f_{b_1}) = L(f_{b_2})$.

Theorem (Ponto–S.)

$$L(f) = \sum_{\substack{\text{fixed point} \\ \text{classes } C}} \text{ind}_{\overline{f}}(C) \cdot L(f_C)$$

- $L(f_C)$ means $L(f_b)$ for any $b \in C$
- $\text{ind}_{\overline{f}}(C) = \sum_{b \in C} \text{ind}_{\overline{f}}(b)$

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- $\text{ind}_{\bar{f}}(C) = \sum_{b \in C} \text{ind}_{\bar{f}}(b)$

Remark

If $E \rightarrow B$ is orientable and B is connected, all fixed-points are in the same class C . Thus $\text{ind}_{\bar{f}}(C) = \sum_{f(b)=b} \text{ind}_{\bar{f}}(b) = L(\bar{f})$, so

$$L(f) = L(\bar{f}) \cdot L(f_b)$$

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Categorical traces

V a finite dim. vector space with basis $\{v_i\}$, $V^* = \text{hom}(V, \mathbb{k})$ its dual, $f: V \rightarrow V$ a linear map. The **trace** of f can be calculated by:

$$\mathbb{k} \xrightarrow{\eta} V \otimes V^* \xrightarrow{f \otimes \text{id}} V \otimes V^* \xrightarrow{\cong} V^* \otimes V \xrightarrow{\epsilon} \mathbb{k}.$$

$$\begin{aligned} 1 &\mapsto \sum_i v_i \otimes v_i^* \mapsto \sum_{ij} a_{ij} v_j \otimes v_i^* \mapsto \sum_{ij} v_i^* \otimes a_{ij} v_j \mapsto \sum_{ij} a_{ij} v_i^*(v_j) \\ &= \sum_i a_{ii}. \end{aligned}$$

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This is the definition of η .

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This is the definition of η .

ϵ is evaluation of covectors.

$M \hookrightarrow \mathbb{R}^p$ a smooth manifold, $T\nu_M$ the one-point compactification of its normal bundle, $f: M \rightarrow M$ continuous. Then

$$S^p \xrightarrow{\eta} M_+ \wedge T\nu \xrightarrow{f \wedge \text{id}} M_+ \wedge T\nu \xrightarrow{\cong} T\nu \wedge M_+ \xrightarrow{\epsilon} S^p.$$

has degree equal to **the Lefschetz number of f** .

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“Pontryagin-Thom maps”

Symmetric monoidal categories

Definition

A **symmetric monoidal category** is a category equipped with

- A “tensor product” of objects \otimes ;
- A “unit object” I ;
- Natural isomorphisms $M \otimes (N \otimes P) \cong (M \otimes N) \otimes P$ and $M \otimes I \cong M \cong I \otimes M$;
- Satisfying certain axioms.

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Examples

- 1 Vector spaces with the usual tensor product, $I = \mathbb{Z}$.
- 2 “Pointed, stable” spaces with the smash product, $I = S^0$.

By a “pointed, stable” space I really mean a **spectrum**, but here’s all you need to know.

- ① Any space M becomes pointed with a disjoint basepoint, M_+ .
- ② Pointed spaces have a “smash product” \wedge .
- ③ We have $(M \times N)_+ \cong M_+ \wedge N_+$.
- ④ “Stabilizing” allows us to smash by high-dimensional spheres S^p “without changing anything”.

Duality and trace

Definition

In a symmetric monoidal category, a **dualizable object** is M with M^* and maps

$$I \xrightarrow{\eta} M \otimes M^* \quad M^* \otimes M \xrightarrow{\varepsilon} I$$

satisfying axioms.

Definition

If M is dualizable, the **trace** of $f: M \rightarrow M$ is

$$I \xrightarrow{\eta} M \otimes M^* \xrightarrow{f \otimes 1} M \otimes M^* \xrightarrow{\cong} M^* \otimes M \xrightarrow{\varepsilon} I$$

$\text{tr}(f)$

Categorical trivial multiplicativity

Theorem (Easy)

If M and N are dualizable and $M \xrightarrow{f} M$, $N \xrightarrow{g} N$, then

$$\begin{array}{ccccc} I & \xrightarrow{\text{tr}(f)} & I & \xrightarrow{\text{tr}(g)} & I \\ & \searrow & & \nearrow & \\ & & \text{tr}(f \otimes g) & & \end{array}$$

Examples

- 1 For vector spaces, I is the ground field \mathbb{k} , and composition of linear maps $\mathbb{k} \rightarrow \mathbb{k}$ is multiplication.
- 2 For pointed stable spaces, I is a big sphere S^p , and composition of maps $S^p \rightarrow S^p$ multiplies their degrees.

Categorical trivial additivity

Theorem (Easy)

In a suitably “additive” context, we have

$$\begin{array}{c} I \xrightarrow{\begin{bmatrix} 1 \\ 1 \end{bmatrix}} I \oplus I \xrightarrow{[\text{tr}(f), \text{tr}(g)]} I \\ \quad \quad \quad \text{tr}(f \oplus g) \end{array}$$

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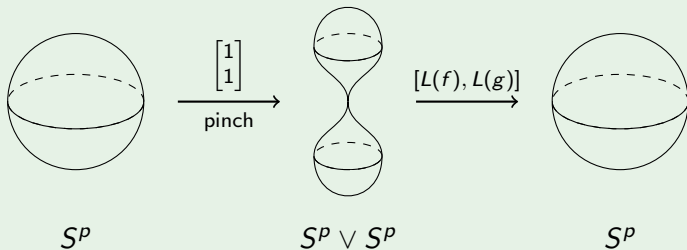
Examples

- 1 For vector spaces, this is matrix multiplication:

$$[\text{tr}(f), \text{tr}(g)] \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \text{tr}(f) + \text{tr}(g)$$

Examples

- ② For manifolds, we have



Categorical nontrivial additivity

Theorem (Not so easy) (May)

In a suitably “stable” context, for

$$\begin{array}{ccccc} A & \longrightarrow & M & \longrightarrow & M/A \\ f \downarrow & & h \downarrow & & \downarrow g \\ A & \longrightarrow & M & \longrightarrow & M/A \end{array}$$

we have

$$\begin{array}{ccccc} I & \xrightarrow{\begin{bmatrix} -1 \\ 1 \end{bmatrix}} & I \oplus I & \xrightarrow{[\mathrm{tr}(f), \mathrm{tr}(h)]} & I \\ & \searrow & & \nearrow & \\ & & \mathrm{tr}(g) & & \end{array}$$

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Arrow-theoretic nonorientable multiplicativity

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Can be expressed as a composition:

$$S^n \xrightarrow{\begin{bmatrix} \vdots \\ \text{ind}_{\overline{f}}(C) \\ \vdots \end{bmatrix}} ?? \xrightarrow{[..., L(f_C), ...]} S^n$$

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$$S^n \xrightarrow{\begin{bmatrix} \vdots \\ \text{ind}_{\bar{f}}(C) \\ \vdots \end{bmatrix}} \Lambda^{\bar{f}} B_+ \wedge S^n \xrightarrow{[..., L(f_C), ...]} S^n$$

$\xrightarrow{\quad L(f) \quad}$

The twisted free loop space

For $\bar{f}: B \rightarrow B$, we define

$$\Lambda^{\bar{f}} B = \left\{ \gamma: [0, 1] \rightarrow B \mid \gamma(0) = \bar{f}(\gamma(1)) \right\}$$

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- If b is a fixed point, then the constant path c_b lies in $\Lambda^{\bar{f}} B$.
- Fixed points b_1, b_2 are in the same class exactly when c_{b_1} and c_{b_2} lie in the same path-component of $\Lambda^{\bar{f}} B$.

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The Hattori-Stallings trace

R a noncommutative ring, $M \cong R^n$ a finitely generated free right R -module, $f: M \rightarrow M$ an R -map.

- Can give f a “matrix” (a_{ij}) , each $a_{ij} \in R$.
- Define $\text{tr}(f) = \sum_i a_{ii}$?

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Definition

For an R - R -bimodule N , its **shadow** is $\langle\langle N \rangle\rangle = N / \langle r \cdot n = n \cdot r \rangle$.

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For an R - R -bimodule N , its **shadow** is $\langle\langle N \rangle\rangle = N / \langle r \cdot n = n \cdot r \rangle$.

Definition

The **Hattori-Stallings trace** of f is the image of $\sum_i a_{ii}$ in $\langle\langle R \rangle\rangle$.

Our f.g. free right module M has a dual $M^* = \text{hom}_R(M, R)$, a **left** R -module.

$$\mathbb{Z} \xrightarrow{\eta} M \otimes_R M^* \xrightarrow{f \otimes \text{id}} M \otimes_R M^* \overset{??}{\cdots \cdots \cdots} M^* \otimes_{\mathbb{Z}} M \xrightarrow{\epsilon} R.$$

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sends $1 \in \mathbb{Z}$ to the **Hattori-Stallings trace** $\text{tr}(f) \in \langle\langle R \rangle\rangle$.

Generalization #1: twisted traces

$\phi: R \rightarrow R$ a ring homomorphism, $f: M \rightarrow M$ a ϕ -equivariant map:

$$f(m \cdot r) = f(m) \cdot \phi(r).$$

Then $\text{tr}(f)$ must take values in $\langle\!\langle R_\phi \rangle\!\rangle = R / \langle r \cdot s = s \cdot \phi(r) \rangle$.

$$\mathbb{Z} \xrightarrow{\eta} M \otimes_R M^* \xrightarrow{f \otimes \text{id}} M \otimes_{R_\phi} M^* \xrightarrow{\cong} \langle\!\langle M^* \otimes_{\mathbb{Z}} M_\phi \rangle\!\rangle \xrightarrow{\epsilon} \langle\!\langle R_\phi \rangle\!\rangle.$$

Generalization #2: traces for bimodules

M an R - S -bimodule which is f.g. free as an S -module.
Then M^* is an S - R -bimodule.

$$\langle\langle R \rangle\rangle \xrightarrow{\eta} \langle\langle M \otimes_S M^* \rangle\rangle \xrightarrow{f \otimes \text{id}} \langle\langle M \otimes_S M^* \rangle\rangle \xrightarrow{\cong} \langle\langle M^* \otimes_R M \rangle\rangle \xrightarrow{\epsilon} \langle\langle S \rangle\rangle.$$

sends each $r \in \langle\langle R \rangle\rangle$ to the H-S trace of the S -module map

$$m \mapsto r \cdot f(m)$$

Generalization #3: twisted traces for bimodules

M an R - S -bimodule which is f.g. free as an S -module.

Let $\phi: R \rightarrow R$, $\psi: S \rightarrow S$ be ring homomorphisms, and let $f: M \rightarrow M$ be ϕ - ψ -equivariant:

$$f(r \cdot m \cdot s) = \phi(r) \cdot f(m) \cdot \psi(s).$$

Then the trace

$$\langle\langle R_\phi \rangle\rangle \xrightarrow{\eta} \langle\langle M \otimes_{S_\psi} M_\phi^* \rangle\rangle \xrightarrow{f \otimes \text{id}} \langle\langle M \otimes_{S_\psi} M_\phi^* \rangle\rangle \xrightarrow{\cong} \langle\langle M^* \otimes_{R_\phi} M_\psi \rangle\rangle \xrightarrow{\epsilon} \langle\langle S_\psi \rangle\rangle.$$

is a map $\langle\langle R_\phi \rangle\rangle \rightarrow \langle\langle S_\psi \rangle\rangle$.

Definition

A **bicategory** is a structure \mathcal{B} with

- “Objects” or “0-cells” A, B, C, \dots ;
- “Hom-categories” $\mathcal{B}(A, B), \dots$;
- “Composition” or “tensor product” functors

$$\mathcal{B}(A, B) \times \mathcal{B}(B, C) \xrightarrow{\odot} \mathcal{B}(A, C)$$

- “Unit” objects $U_A \in \mathcal{B}(A, A)$;
- Natural isomorphisms $M \odot (N \odot P) \cong (M \odot N) \odot P$ and $M \odot U_B \cong M \cong U_A \odot M$;
- Satisfying certain axioms.

Example

Objects = rings, $\mathcal{B}(R, S)$ = the category of R - S -bimodules.

Definition (Ponto)

A **shadow** on a bicategory \mathcal{B} is a collection of functors

$$\langle\!\langle - \rangle\!\rangle: \mathcal{B}(A, A) \rightarrow \mathcal{I}$$

together with

- Isomorphisms $\langle\!\langle M \odot N \rangle\!\rangle \cong \langle\!\langle N \odot M \rangle\!\rangle$;
- Satisfying certain axioms.

Traces with shadows

Definition

An object $M \in \mathcal{B}(A, B)$ is **dualizable** if there is $M^* \in \mathcal{B}(B, A)$ and maps

$$U_A \xrightarrow{\eta} M \odot M^* \quad M^* \odot M \xrightarrow{\varepsilon} U_B$$

satisfying axioms.

Definition

If M is dualizable, the **trace** of $f: M \rightarrow M$ is

$$\begin{array}{ccccccc} \langle\langle U_A \rangle\rangle & \xrightarrow{\eta} & \langle\langle M \odot M^* \rangle\rangle & \xrightarrow{f \otimes 1} & \langle\langle M \odot M^* \rangle\rangle & \xrightarrow{\cong} & \langle\langle M^* \odot M \rangle\rangle \xrightarrow{\varepsilon} \langle\langle U_B \rangle\rangle \\ & \searrow & & & & & \nearrow \\ & & & \text{tr}(f) & & & \end{array}$$

Definition

If M is dualizable, the **trace** of $f: P \odot M \rightarrow M \odot Q$ is

$$\begin{array}{ccccccc} \langle\langle P \rangle\rangle & \xrightarrow{\eta} & \langle\langle P M M^* \rangle\rangle & \xrightarrow{f \otimes 1} & \langle\langle M Q M^* \rangle\rangle & \xrightarrow{\cong} & \langle\langle Q M^* M \rangle\rangle \xrightarrow{\varepsilon} \langle\langle Q \rangle\rangle \\ & & & & & & \uparrow \\ & & & & & & \text{tr}(f) \end{array}$$

(Omitting the \odot symbols for space reasons.)

Bicategorical multiplicativity

Theorem (Easy)

If M and N are dualizable in a bicategory, and $f: P \odot M \rightarrow M \odot Q$ and $g: Q \odot N \rightarrow N \odot R$, then

$$\begin{array}{ccccc} \langle\!\langle P \rangle\!\rangle & \xrightarrow{\text{tr}(f)} & \langle\!\langle Q \rangle\!\rangle & \xrightarrow{\text{tr}(g)} & \langle\!\langle R \rangle\!\rangle \\ & \searrow & & \nearrow & \\ & & \text{tr}(f \otimes g) & & \end{array}$$

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Corollary

For f a ϕ - ψ -equivariant map and g a ψ - χ -equivariant map,

$$\begin{array}{ccccc} \langle\langle R_\phi \rangle\rangle & \xrightarrow{\text{tr}(f)} & \langle\langle S_\psi \rangle\rangle & \xrightarrow{\text{tr}(g)} & \langle\langle T_\chi \rangle\rangle \\ & \searrow & & \nearrow & \\ & \text{tr}(f \otimes g) & & & \end{array}$$

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A different bicategory

We work in a bicategory of spaces and (pointed, stable) fibrations.

$$\begin{array}{ll} \text{rings } R, S & \longleftrightarrow \text{ spaces } A, B \\ R\text{-}S\text{-bimodules} & \longleftrightarrow \text{ fibrations } E \rightarrow A \times B \end{array}$$

A different bicategory

We work in a bicategory of spaces and (pointed, stable) fibrations.

rings R, S	\longleftrightarrow	spaces A, B
R - S -bimodules	\longleftrightarrow	fibrations $E \rightarrow A \times B$
the integers \mathbb{Z}	\longleftrightarrow	the one-point space \star
right R -module	\longleftrightarrow	fibration $E \rightarrow B$
left R -module	\longleftrightarrow	fibration $E \rightarrow B$

A different bicategory

We work in a bicategory of spaces and (pointed, stable) fibrations.

rings R, S	\longleftrightarrow	spaces A, B
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the integers \mathbb{Z}	\longleftrightarrow	the one-point space \star
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(This bicategory of spectra was constructed by May-Sigurdsson.)

The refined fiberwise Lefschetz number

Let $f: E \rightarrow E$ be a map over $\bar{f}: B \rightarrow B$.

$$\begin{array}{ccc} E & \xrightarrow{f} & E \\ p \downarrow & & \downarrow p \\ B & \xrightarrow{\bar{f}} & B \end{array}$$

We can regard $E \rightarrow B$ as a “left B -module”, and f as an “ \bar{f} -equivariant map.” It is dualizable if the fibers of p are manifolds, and its trace is

$$\Lambda^{\bar{f}} B_+ \wedge S^p \xrightarrow{[..., L(f_C), ...]} S^p$$

Definition

This is the **refined fiberwise Lefschetz number** of f .

The Reidemeister trace

We can also regard $\text{id}_B: B \rightarrow B$ as a “right B -module”, and \bar{f} itself as an “ \bar{f} -equivariant map”. It is dualizable if B is a manifold, and its trace is

$$S^p \xrightarrow{\left[\begin{array}{c} \vdots \\ \text{ind}_{\bar{f}}(C) \\ \vdots \end{array} \right]} \Lambda^{\bar{f}} B_+ \wedge S^p$$

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This (or its image in homology) is the **Reidemeister trace** $R(\bar{f})$.

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(The Reidemeister trace is of independent interest; it refines $L(\bar{f})$ and supports a converse to the Lefschetz fixed point theorem.)

Nonorientable multiplicativity

The “tensor product” of the “right B -module” $\text{id}_B: B \rightarrow B$ and the “left B -module” $E \rightarrow B$ is the space E .

$$\begin{array}{ccccc}
 & \left[\begin{array}{c} \vdots \\ \text{ind}_{\bar{f}}(C) \\ \vdots \end{array} \right] & & & \\
 S^p & \xrightarrow{\quad} & \Lambda^{\bar{f}} B_+ \wedge S^p & \xrightarrow{[\dots, L(f_C), \dots]} & S^p \\
 & \searrow & & \nearrow & \\
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Theorem

$$L(f) = \sum_{\substack{\text{fixed point} \\ \text{classes } C}} \text{ind}_{\bar{f}}(C) \cdot L(f_C)$$

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 \searrow \hspace{10cm} \nearrow \\
 \hspace{10cm} L(f)
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Also generalizes to compute $R(f)$ in terms of $R(f_C)$.

An easy route to nontrivial additivity

Work in yet another bicategory.

rings R, S	\longleftrightarrow	small categories A, B
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Definition

The **shadow** of a category A is the disjoint union of all endomorphisms in A , modulo “conjugacy”.

$$\langle\langle A \rangle\rangle = \left(\coprod_{a \in A} \text{hom}_A(a, a) \right) / (\alpha\beta \sim \beta\alpha)$$

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In particular, $\langle\langle A \rangle\rangle$ contains a class for each identity morphism $[1_a]$, with $[1_a] = [1_b]$ if and only if $a \cong b$.

The refined Lefschetz number of a diagram

- Let $B = (a \rightarrow b)$. Then (stabilizing)

$$\langle\langle B \rangle\rangle = \{[1_a], [1_b]\}_+ \wedge S^p \cong S^p \vee S^p.$$

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- M is dualizable if M and A are manifolds, and the trace of h is

$$S^p \vee S^p \xrightarrow{[L(f), L(h)]} S^p$$

The Reidemeister trace of a category

With $B = (a \rightarrow b)$ as before, we have a functor $N: B^{op} \rightarrow \text{Spaces}$:

$$N(a) = *$$

$$N(b) = S^p$$

This is dualizable as a “right B -module”, and its trace is

$$S^p \xrightarrow{\begin{bmatrix} -1 \\ 1 \end{bmatrix}} S^p \vee S^p$$

Nontrivial additivity

The “tensor product” of the “right B -module” $A \hookrightarrow M$ and the “left B -module” N is the quotient M/A .

$$\begin{array}{ccccc} S^p & \xrightarrow{\begin{bmatrix} -1 \\ 1 \end{bmatrix}} & S^p \vee S^p & \xrightarrow{[L(f), L(h)]} & S^p \\ & \searrow & & \nearrow & \\ & & L(g) & & \end{array}$$

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Theorem

$$L(g) = L(h) - L(f)$$

Euler characteristics of categories

Generalizes to colimits of other diagram shapes.

Example

- B a small “homotopically finite” category.
- The trivial left B -module has trace $[1, 1, \dots, 1]$.
- The trivial right B -module has a trace that assigns a “weight” k_a to each isomorphism class of objects $[1_a]$.
- The tensor product of these modules is $|NB|$, and so

$$\chi(|NB|) = \sum_a k_a$$

is the **Euler characteristic** of B (Leinster).

- ① Addition and multiplication are both certain special cases of **linear combinations**.
- ② “Sums” and “products” of spaces are both certain kinds of **tensor product**.
- ③ Additivity and multiplicativity formulas for trace-like invariants follow formally from these identifications.