

All $(\infty, 1)$ -toposes have strict univalent universes

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One model is not enough

A (Grothendieck–Rezk–Lurie) $(\infty, 1)$ -topos is:

- The category of objects obtained by “homotopically gluing together” copies of some collection of “model objects” in specified ways.
- The free cocompletion of a small $(\infty, 1)$ -category preserving certain well-behaved colimits.
- An accessible left exact localization of an $(\infty, 1)$ -category of presheaves.

They are a powerful tool for studying all kinds of “geometry” (topological, algebraic, differential, cohesive, etc.).

It has long been expected that $(\infty, 1)$ -toposes are models of HoTT, but coherence problems have proven difficult to overcome.

Main Theorem

Theorem (S.)

*Every $(\infty, 1)$ -topos can be given the structure of a model of “Book” HoTT with **strict univalent universes**, closed under Σ_s , Π_s , coproducts, and identity types.*

Caveats for experts:

- 1 Classical metatheory: ZFC with inaccessible cardinals.
- 2 We assume the initiality principle.
- 3 Only an interpretation, not an equivalence.
- 4 HITs also exist, but remains to show universes are closed under them.

Example

- ① Hou–Finster–Licata–Lumsdaine formalized a proof of the Blakers–Massey theorem in HoTT.
- ② Later, Rezk and Anel–Biedermann–Finster–Joyal unwound this manually into a **new** $(\infty, 1)$ -topos-theoretic proof, with a generalization applicable to Goodwillie calculus.
- ③ We can now say that the HFL proof **already implies** the $(\infty, 1)$ -topos-theoretic result, without manual translation. (Modulo closure under HITs.)

- 1 Type-theoretic model toposes
- 2 Left exact localizations
- 3 Injective model structures
- 4 Remarks

Review of model-categorical semantics

We can interpret type theory in a well-behaved model category \mathcal{E} :

Type theory	Model category
Type $\Gamma \vdash A$	Fibration $\Gamma.A \twoheadrightarrow \Gamma$
Term $\Gamma \vdash a : A$	Section $\Gamma \rightarrow \Gamma.A$ over Γ
Id-type	Path object
\vdots	\vdots
Universe	Generic small fibration $\pi : \tilde{U} \twoheadrightarrow U$

To ensure U is closed under the type-forming operations, we choose it so that **every** fibration with “ κ -small fibers” is a pullback of π , where κ is some inaccessible cardinal.

Universes in presheaves

Let $\mathcal{E} = [\mathcal{C}^{\text{op}}, \text{Set}]$ be a **presheaf** model category.

Definition

Define a presheaf $U \in \mathcal{E} = [\mathcal{C}^{\text{op}}, \text{Set}]$ where

$$U(c) = \left\{ \kappa\text{-small fibrations over } \mathcal{J}c = \mathcal{C}(-, c) \right\}$$

with functorial action by pullback along $\mathcal{J}\gamma : \mathcal{J}c_1 \rightarrow \mathcal{J}c_2$.
(Plus standard cleverness to make it strictly functorial.)

Similarly, define \tilde{U} using fibrations equipped with a section.
We have a κ -small map $\pi : \tilde{U} \rightarrow U$.

Theorem

Every κ -small fibration is a pullback of π .

But π may not **itself** be a fibration!

Universes via representability

Theorem

If the generating acyclic cofibrations in $\mathcal{E} = [\mathcal{C}^{\text{op}}, \text{Set}]$ have representable codomains, then $\pi : \tilde{U} \rightarrow U$ is a fibration.

Proof.

To lift in the outer rectangle, instead lift in the left square.

$$\begin{array}{ccccc} A & \longrightarrow & \bullet & \longrightarrow & \tilde{U} \\ \sim \downarrow & & \downarrow x & \lrcorner & \downarrow \pi \\ \mathcal{L}\mathcal{C} & \xlongequal{\quad} & \mathcal{L}\mathcal{C} & \xrightarrow{[x]} & U \end{array}$$

□

Example (Voevodsky)

In simplicial sets, the generating acyclic cofibrations are $\Lambda^{n,k} \rightarrow \Delta^n$, where Δ^n is representable.

Universes via structure

In cubical sets, the fibrations have a *uniform choice* of liftings against generators $\square^{n,k} \rightarrow \square^n$. Since \square^n is representable, our π lifts against these generators, but not uniformly.

Instead one defines (BCH, CCHM, ABCFHL, etc.)

$$U(c) = \left\{ \text{small fibrations over } \mathcal{J}c \text{ with } \text{specified} \text{ uniform lifts} \right\}.$$

Then the lifts against the generators $\square^{n,k} \rightarrow \square^n$ cohere under pullback, giving π also a uniform choice of lifts.

Let's put this in an abstract context.

Notions of fibred structure

Definition

A **notion of fibred structure** \mathbb{F} on a category \mathcal{E} assigns to each morphism $f : X \rightarrow Y$ a set (perhaps empty) of “ \mathbb{F} -structures”, which vary functorially in pullback squares: given a pullback

$$\begin{array}{ccc} X' & \longrightarrow & X \\ f' \downarrow & \lrcorner & \downarrow f \\ Y' & \longrightarrow & Y \end{array}$$

any \mathbb{F} -structure on f induces one on f' , functorially.

Definition

A notion of fibred structure \mathbb{F} is **locally representable** if for any $f : X \rightarrow Y$, the functor $\mathcal{E}/Y \rightarrow \text{Set}$, sending $g : Z \rightarrow Y$ to the set of \mathbb{F} -structures on $g^*X \rightarrow Z$, is representable.

Notions of fibration structure

Examples

The following notions of fibred structure on a map $f : X \rightarrow Y$ are locally representable:

- 1 The property of lifting against a set of maps with representable codomains (e.g. simplicial sets).
- 2 The *structure* of liftings against a *category* of maps with representable codomains (e.g. as in Emily's talk).
- 3 A G_Y -algebra structure for a fibred pointed endofunctor G (e.g. the partial map classifier, as in Steve's talk).
- 4 A section of $F_Y(X)$, for any fibred endofunctor F .
- 5 The combination of two or more locally representable notions of fibred structure.
- 6 The property of having κ -small fibers.
- 7 A square exhibiting f as a pullback of some $\pi : \tilde{U} \rightarrow U$.

Universes from fibration structures

For a notion of fibred structure \mathbb{F} , define

$$U(c) = \left\{ \text{small maps into } \mathcal{L}c \text{ with specified } \mathbb{F}\text{-structures} \right\}.$$

and similarly $\pi : \tilde{U} \rightarrow U$.

Theorem

If \mathbb{F} is locally representable, then π also has an \mathbb{F} -structure, and every \mathbb{F} -structured map is a pullback of it.

Proof.

Write U as a colimit of representables. All the coprojections factor coherently through the representing object for \mathbb{F} -structures on π , so the latter has a section. \square

(Can also use the representing object for \mathbb{F} -structures on the classifier $\tilde{V} \rightarrow V$ of all κ -small morphisms, as Steve did yesterday.)

Type-theoretic model toposes

Definition (S.)

A **type-theoretic model topos** is a model category \mathcal{E} such that:

- \mathcal{E} is a right proper Cisinski model category.
- \mathcal{E} has a well-behaved, locally representable, notion of fibred structure \mathbb{F} such that the maps admitting an \mathbb{F} -structure are precisely the fibrations.
- \mathcal{E} has a well-behaved enrichment (e.g. over simplicial sets).

It is not hard to show:

- 1 Every type-theoretic model topos interprets Book HoTT with univalent universes. (FEP+EEP \Rightarrow U is fibrant and univalent.)
- 2 The $(\infty, 1)$ -category presented by a type-theoretic model topos is a Grothendieck $(\infty, 1)$ -topos. (It satisfies Rezk descent.)

The hard part is the converse of (2): are there enough ttmts?

The Plan

An $(\infty, 1)$ -topos is, by one definition, an accessible left exact localization of a presheaf $(\infty, 1)$ -category. Thus it will suffice to:

- 1 Show that simplicial sets are a type-theoretic model topos. ✓
- 2 Show that type-theoretic model toposes are closed under passage to presheaves.
- 3 Show that type-theoretic model toposes are closed under accessible left exact localizations.

We take the last two in reverse order.

Outline

- ① Type-theoretic model toposes
- ② Left exact localizations
- ③ Injective model structures
- ④ Remarks

Localization

Let S be a set of morphisms in a type-theoretic model topos \mathcal{E} .

Definition

A fibrant object $Z \in \mathcal{E}$ is (internally) **S-local** if $Z^f : Z^B \rightarrow Z^A$ is an equivalence in \mathcal{E} for all $f : A \rightarrow B$ in S .

These are the fibrant objects of a **left Bousfield localization** model structure $\mathcal{L}_S \mathcal{E}$ on the same underlying category \mathcal{E} . It is **left exact** if fibrant replacement in $\mathcal{L}_S \mathcal{E}$ preserves homotopy pullbacks in \mathcal{E} .

Example

If $\mathcal{E} = [\mathcal{C}^{\text{op}}, \text{Set}]$ and \mathcal{C} is a site with covering sieves $R \twoheadrightarrow \mathcal{Y}_{\mathcal{C}}$, then Z^R is the object of local/descent data. Thus the local objects are the sheaves/stacks.

Left exact localizations as type-theoretic model toposes

Lemma

There is a loc. rep. notion of fibred structure whose \mathbb{F}_S -structured maps are the fibrations $X \rightarrow Y$ that are S -local in \mathcal{E}/Y .

Sketch of proof.

Define $\text{isLocal}_S(X)$ using the internal type theory, and let an \mathbb{F}_S -structure be an \mathbb{F} -structure and a section of $\text{isLocal}_S(X)$. □

Left exact localizations as type-theoretic model toposes

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Sketch of proof.

Define $\text{isLocal}_S(X)$ using the internal type theory, and let an \mathbb{F}_S -structure be an \mathbb{F} -structure and a section of $\text{isLocal}_S(X)$. \square

Theorem

If S -localization is left exact, $\mathcal{L}_S\mathcal{E}$ is a type-theoretic model topos.

Sketch of proof.

Using Rijke–S.–Spitters and Anel–Biedermann–Finster–Joyal (forthcoming), if we close S under homotopy diagonals, the above \mathbb{F}_S -structured maps also coincide with the fibrations in $\mathcal{L}_S\mathcal{E}$. \square

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Warnings about presheaf model structures

\mathcal{E} = a type-theoretic model topos, \mathcal{D} = a small (enriched) category,
 $[\mathcal{D}^{\text{op}}, \mathcal{E}]$ = the presheaf category.

Warning #1

It's essential that we allow presheaves over $(\infty, 1)$ -categories (e.g. simplicially enriched categories) rather than just 1-categories. But for simplicity here, let's assume \mathcal{D} is unenriched.

Warning #2

In cubical cases, $[\mathcal{D}^{\text{op}}, \mathcal{E}]$ has an “intrinsic” cubical-type model structure, which (when \mathcal{D} is unenriched) coincides with the ordinary cubical model constructed in the internal logic of $[\mathcal{D}^{\text{op}}, \text{Set}]$. However, this generally does **not** present the correct $(\infty, 1)$ -presheaf category, as discussed by Thierry yesterday.

Theorem

The category $[\mathcal{D}^{\text{op}}, \mathcal{E}]$ of presheaves has an *injective model structure* such that:

- 1 The weak equivalences and cofibrations are pointwise.
- 2 It is right proper and Cisinski.
- 3 It presents the corresponding presheaf $(\infty, 1)$ -category.

Thus it lacks only a suitable notion of fibred structure to be a type-theoretic model topos.

Injective model structures

Theorem

The category $[\mathcal{D}^{\text{op}}, \mathcal{E}]$ of presheaves has an injective model structure such that:

- ① *The weak equivalences and cofibrations are pointwise.*
 - *The fibrations are ... ?????*
- ② *It is right proper and Cisinski.*
- ③ *It presents the corresponding presheaf $(\infty, 1)$ -category.*

Thus it lacks only a suitable notion of fibred structure to be a type-theoretic model topos.

Why pointwise isn't enough

When is $X \in [\mathcal{D}^{\text{op}}, \mathcal{E}]$ injectively fibrant? We want to lift in

$$\begin{array}{ccc} A & \xrightarrow{g} & X \\ \downarrow i \sim & \nearrow & \\ B & & \end{array}$$

where $i : A \rightarrow B$ is a pointwise acyclic cofibration.

If X is **pointwise fibrant**, then for all $d \in \mathcal{D}$ we have a lift

$$\begin{array}{ccc} A_d & \xrightarrow{g_d} & X_d \\ \downarrow i_d \sim & \nearrow h_d & \\ B_d & & \end{array}$$

These may not fit together into a **natural** transformation $B \rightarrow X$, but they do form a **homotopy coherent natural transformation**.

The coherent morphism cocommutator

Lemma

The notion of coherent natural transformation is representable. That is, there is a *coherent transformation cocommutator* $C^{\mathcal{D}}(Y)$ (classically called the *cobar construction*) with a natural bijection

$$\frac{h : X \rightsquigarrow Y}{\bar{h} : X \rightarrow C^{\mathcal{D}}(Y)}$$

- The (strictly natural) identity $X \rightsquigarrow X$ corresponds to a canonical map $\nu_X : X \rightarrow C^{\mathcal{D}}(X)$.
- ν_X is always a *pointwise acyclic cofibration*!

Injective fibrancy

Theorem (S.)

$X \in [\mathcal{D}^{\text{op}}, \mathcal{E}]$ is injectively fibrant if and only if it is pointwise fibrant and $\nu_X : X \rightarrow C^{\mathcal{D}}(X)$ has a retraction $r : C^{\mathcal{D}}(X) \rightarrow X$.

Injective fibrancy

Theorem (S.)

$X \in [\mathcal{D}^{\text{op}}, \mathcal{E}]$ is injectively fibrant if and only if it is pointwise fibrant and $\nu_X : X \rightarrow C^{\mathcal{D}}(X)$ has a retraction $r : C^{\mathcal{D}}(X) \rightarrow X$.

Proof of “only if”.

If $X \in [\mathcal{D}^{\text{op}}, \mathcal{E}]$ is injectively fibrant, then since ν_X is a pointwise acyclic cofibration we have a lift:

$$\begin{array}{ccc} X & \xlongequal{\quad} & X \\ \nu_X \downarrow & \nearrow r & \\ C^{\mathcal{D}}(X) & & \end{array}$$



Injective fibrancy

Theorem (S.)

$X \in [\mathcal{D}^{\text{op}}, \mathcal{C}]$ is injectively fibrant if and only if it is pointwise fibrant and $\nu_X : X \rightarrow C^{\mathcal{D}}(X)$ has a retraction $r : C^{\mathcal{D}}(X) \rightarrow X$.

Proof of “if”.

Given a pointwise acyclic cofibration $i : A \rightarrow B$ and a map $g : A \rightarrow X$, we construct a coherent $h : B \rightsquigarrow X$ with $h \circ i = g$.

$$\begin{array}{ccc} A & \xrightarrow{g} & X \\ i \downarrow & \rightsquigarrow & \uparrow h \\ B & & \end{array} \qquad \begin{array}{ccc} A & \xrightarrow{g} & X \\ i \downarrow & \nearrow k & \\ B & & \end{array}$$

We have $\bar{h} : B \rightarrow C^{\mathcal{D}}(X)$; define $k = r \circ \bar{h} : B \rightarrow X$. Since $h \circ i = g$ is strict, $\bar{h} \circ i = \nu_X \circ g$, and $k \circ i = r \circ \bar{h} \circ i = r \circ \nu_X \circ g = g$. \square

Injective fibrations

Given $f : X \rightarrow Y$, define a factorization by pullback:

$$\begin{array}{ccccc} X & & & & \\ & \searrow \lambda_f & & \searrow \nu_X & \\ & & C^{\mathcal{D}}(f) & \xrightarrow{\nu_f} & C^{\mathcal{D}}(X) \\ & \searrow f & \downarrow \rho_f & \lrcorner & \downarrow C^{\mathcal{D}}(f) \\ & & Y & \xrightarrow{\nu_Y} & C^{\mathcal{D}}(Y) \end{array}$$

Theorem (S.)

$f : X \rightarrow Y$ is an injective fibration if and only if it is a pointwise fibration and λ_f has a retraction $r : C^{\mathcal{D}}(f) \rightarrow X$ over Y .

A notion of injective fibration structure

Note $C^{\mathcal{D}}$ is a fibred pointed endofunctor of $[\mathcal{D}^{\text{op}}, \mathcal{E}]$. Thus, if we define an $\mathbb{F}^{\mathcal{D}}$ -structure to be a pointwise \mathbb{F} -structure and a $C^{\mathcal{D}}$ -algebra structure, we get a locally representable notion of fibred structure for the injective fibrations in $[\mathcal{D}^{\text{op}}, \mathcal{E}]$.

Theorem

$[\mathcal{D}^{\text{op}}, \mathcal{E}]$ is a type-theoretic model topos with $\mathbb{F}^{\mathcal{D}}$.

This completes the main result.

Outline

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- 1 Are these universes closed under higher inductive types?
- 2 Do Grothendieck $(\infty, 1)$ -toposes model *cubical* type theory?
(Perhaps with cubically enriched type-theoretic model toposes?)
- 3 How much of this works in a constructive metatheory?
- 4 What about *elementary* $(\infty, 1)$ -toposes? (E.g. by Yoneda?)